

In re Patent Application of

CRUTCHER

Atty. Ref.: 2452-13

Serial No. 09/484,455

TC/A.U.: 2141

Filed: 18 January 2000

Examiner: Coulter, Kenneth R.

For: APPLET EMBEDDED CROSS-PLATFORM CACHING

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APR 13 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Technology Center 2100

Sir:

#### Declaration Under 37 C.F.R. 1.131

- I, Craig D. Crutcher, declare as follows:
- 1. I am the inventor of the subject matter claimed in the patent application identified above.
- 2. I understand that the Patent Office has rejected certain claims of this patent application as allegedly being "anticipated" under 35 USC 102(e) by U.S. Patent No. 6,536,035 to Hawkins filed on 9/3/99.
- 3. I conceived of the subject matter disclosed and claimed in my patent application before Hawkins' 9/3/99 effective filing date.
- 4. The computer listing excerpts attached as Exhibit A corroborate my earlier conception of my invention. These listings bear initial dates of before 9/3/99 (the actual dates have been reducted to protect confidentiality).



- 6. Attached Exhibit A further demonstrates that I exercised due diligence from before 9/3/99 through a subsequent reduction to practice of my invention in the form of a "beta" version.
- 7. I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 4/4/2004

eraig D. Crutcher

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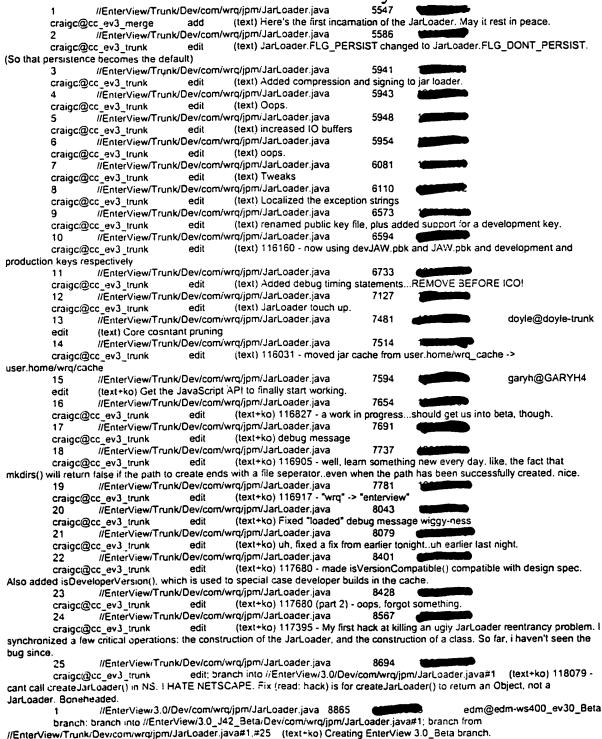
#### **EXHIBIT A**

### JPM\_FILELOG.TXT

	•
//Users/craige/ev30/com/wrq/jpm	
#4 change 4861 edit on	22:01:47 by craigc@cc_ev3_loader (text) 'Changed Context refs in Context'
#3 change 4672 edit on	15:17:01 by craige@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	09:51:26 by craigc@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craigc@cc_ev3_loader (text) TAR package manager classes.
//Users/craige/ev30/com/wrq/jpm	/CLoader.java
#6 change 5071 edit on	16:13:22 by craigc@cc_ev3_loader (text) 'I think it sorta works! '
#5 change 4916 edit on	15:58:40 by craige@cc_ev3_loader (text) 'Removed a bit of unused code.'
#4 change 4701 edit on	16:25:28 by craige@cc_ev3_loader (text) 'yet more changes'
#3 change 4672 edit on	15:17:01 by craige@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	09:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craigc@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrq.jpm	DefaultSecure.java
	15:17:01 by craigc@cc_ev3_loader (text) 'Yet more changes!'
#2 change 4617 edit on	09:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craigcacce ev3 loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrg/ipin	/IClassLoader.java
#3 change 4672 edit on 1	15:17:01 by craige@cc_ev3_loader (text) 'Yet more changes!'
#2 change 4617 edit on	09:51:26 by craigc@cc_ev3_loader (text) 'loader tweaking'
	14:43:10 by craigc@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrg/jpm	/ISecure.java
#1 change 4687 add on	9:40:09 by craigc@cc_ev3_loader (text) 'ISecure added.'
//Users/craige/ev30/com/wrq/jpm	/JarCache.java
#3 change 4672 edit on	5:17:01 by craigc@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	09:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craige@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/convwrq/jpm	/JarLoader.java
	11:18:42 by craigc@cc_ev3_loader (text) 'Slight d/l optimization.'
#3 change 4672 edit on	15:17:01 by craigc@cc_ev3_loader (text) 'Yet more changes!'
#2 change 4617 edit on	(19:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craigc@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrq/jpm	
#1 change 4496 add on	14:43:10 by craige@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrq/ipm	ipmresource/JPMResourceConnection.java
#1 change 4496 add on	14:43:10 by craigc@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrq/ipm	
#5 change 4916 edit on	15:58:40 by craigc@cc_ev3_loader (text) 'Removed a bit of unused code.'
#4 change 4701 edit on	16:25:28 by craige@cc_ev3_loader (text) 'yet more changes'
#3 change 4672 edit on	15:17:01 by craige@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	(19:51:26 by craigc@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by eraige@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com wrq.ipin	MSSecure.java
#3 change 4672 edit on	15:17:01 by craige@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	19:51:26 by craigc@cc_ev3_loader (text) 'loader tweaking'
#1 change 44% add on	14:43:10 by eraige@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com/wrq.ipm	.NSSecure.java
#3 change 4672 edit on	15:17:01 by craigc@cc_ev3_loader (text) 'Yet more changes! '
#2 change 4617 edit on	09:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4496 add on	14:43:10 by craigc@cc_ev3_loader (text) 'JAR package manager classes.'
//Users/craige/ev30/com wrq ipm	
#4 change 4917 edit on	15:59:09 by doyle@doyle-ev3-loader (text) "
#3 change 4672 edit on	15:17:01 by eraige@cc_ev3_loader (text) 'Yet more changes!'
#2 change 4617 edit on	09:51:26 by craige@cc_ev3_loader (text) 'loader tweaking'
#1 change 4400 add on	14:43:10 by craige@cc_ev3_loader (text) 'JAR package manager classes.'
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JarLoader Class Revision History



		//EnterView/3.0/Dev/com/wrq/jpm/JarLoader.java 90 copy from //EnterView/3.0_J42_Beta/Dev/com/wrq/jp Dev/com/wrq/jpm/JarLoader.java#27 (text+ko) Int	m/JarLoader.java#2	edm@edmws400_ev-all ; merge into ranch changes into EnterView 3.0 Be	ta
branch.	•	UP-1-17- UP-0-19-0-19-0-19-0-19-0-19-0-19-0-19-0-1	207	ad=@ad=.ua400_au20_Ba	
	3	//EnterView/3.0/Dev/com/wrq/jpm/JarLoader.java 93	201	edm@edm-ws400_ev30_Be	
		copy from //EnterView/3.0_J42_Beta/Dev/com/wrq/jp	m/JarLoader.java#3	(text+ko) Integrating J42 Be	ia
changes	into 3.0 br	anch.			
	4	//EnterView/3.0/Dev/com/wrq/jpm/JarLoader.java 92	208	edm@edmws400_ev-all	
	integrate:	merge into //EnterView/Trunk/Dev/com/wrq/jpm/JarLo	oader.java#28; merg	e from	
//EnterVi		ev/com/wrq/jpm/JarLoader.java#26,#27 (text+ko) Int			
	5	//EnterView/3.0/Dev/com/wrq/jpm/JarLoader.java 93		maliaa@maliaa_410-EV30-	
beta	edit	(text+ko) 118412: Change security permissions under			
	6	//EnterView/3.0/Dev/com/wrg/jpm/JarLoader.java 94		craigc@cc ev3 beta	
	edit; copy	into //EnterView/Trunk/Dev/com/wrq/jpm/JarLoader.ja	ava#29 (text+ko) 11	9698 - name change for local root	
directory	'enterview	-> 'reflectionweb'	, ,	•	
	7	//EnterView/3.0/Dev/com/wrq/jpm/JarLoader.java 95	539	craigc@cc_ev3_beta	
	edit	(text+ko) 120289		3 3 4 , 2	
	8	//EnterView/3.0/Dev/com/wrq/jpm/Jart_oader.java 99	954	craigc@cc_ev3_beta	
	-	into //EnterView/Trunk/Dev/com/wrg/jpm/JarLoader.j			
	Sun, COP	THE METRE FIGHT FRANCES FOOTH WITH PRINCESSON,	aranoo (text.ko) tz		

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## CLoader.java

```
import java.io.*;
import java.util.*;
import java.util.zip.*;
import java.net.*;
import java.awt.*;
public class CLoader extends ClassLoader implements IClassLoader {
  public final static String urlPrefix = "JPM";
  private static final String protocolPathProp = "java.protocol.handler.pkgs";
  private static boolean debug = false; # debugging
  private static boolean keepLoading = true; // aggresively load classes
  private String cookie;
                                // name of the jar file
  private ClassLoader parentLoader = null;
   * all instances, by cookie. We really only instantiate one, see next, but
   * we are leaving the machinery around in case we need it again later
  private static Hashtable loaders = new Hashtablet);
   * The only CLoader we actually instantiate
  public static CLoader ourLoader;
   Additional directory from where to look for resources...
   * (after CLASSPATH and loaded JARs).
   * -- currently unused --
  private String localResourceDirectory = null;
   * Overrides for local resources
  private Hashtable localOverrides = new Hashtable();
   • Create a SipleClassLoader. It is identified by a cookie string
   * Resource and Mime Type HashTables
   private Hashtable resourceHash = new Hashtable();
   private Hashtable mimeHash = new Hashtable();
   / ** A hash table of AppletClassEntry's that define .class files in their
   • raw form. We B do not B> define these classes immediately

    in defineClassFromBytes(), instead the byte arrays are kept

     around and classes are defined lazily on demand, and the byte

    array is removed from the Hashtable at that time.

   • •.p>
   * By defining classes lazily, we prevent the case where a
```

derived class was found in a jar before its super class also

```
• in the jar. The derived class cannot be defined before it's

    super class has even been loaded from the archive.

    classes are hashed by fully qualified name - "my.package.MyApplet"

 * Set some bytecodes as a definition for a class.
 * Do not actually define the class until later
public void defineClassFromBytes(String name, byte[] buf) {
                     rawClasses.put(name, buf);
 * Define (& link) a class based on what was acquired previously in the JAR file
 * Should catch all exceptions and return non-null iff definition is valid
// release the bytecodes...
                     // We will print a message higher up, possibly before or earlier than // in this invocation pass through applyDefinition.
                     return null;
            // Check that the loaded class has the name we expect
            if (!c.getName().equals(name)) {
            return c;
 * Define a class from a file
```

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```
InputStream is = new FileInputStreamtfileName);
        int read 0;
        while (read < length) !
           int r = is.read(buff, read, length-read);
           if (r < 0)!
                   break:
          read · r;
        return buff;

    Load a class from this class loader.

* tagexception ClassNotFoundException if the class could not be found.
public Class loadClass(String name) throws ClassNotFoundException {
        return loadClass(name, true);
* This is the main method for ClassLoaders, that is being redefined
* tagexception ClassNotFoundException if the class could not be found.
protected Class loadClass(String name, boolean resolve)
        /* We check to see if we've already loaded it (i.e. in the cache) */
        Class cl = findLoadedClass(name);
        // If the class hasn't already been loaded from the JAR,
        // we first try looking in the JAR:
        if (cl == null) ;
           // First try to load the class from the JAR:
                               clse
                               return cl;
                    catch(ClassNotFoundException cnfe) {
        1
         // If the class isn't in the JAR, try the system classloader:
           try ;
                    return el:
                    # Drop through.
         if (cl := null);
```

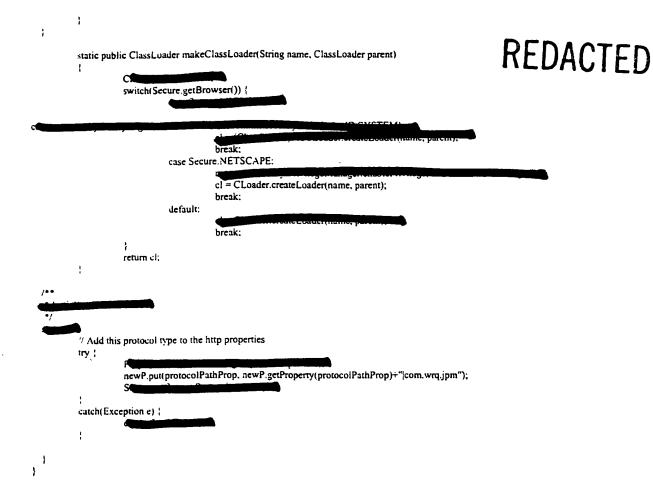
. ·.

```
if (resolve) !
          resolveClass(cl):
        return cl;
• The resource stuff
* Assign an InputStream as the source for a given property name
* This value comes first after the system resources
public void putClassResource(String name, String type) {
public void putLocalResource(String name, byte[] data, String type) {
        resourceHash.put(name, data);
        mimeHash.put(name, type);
public URL getResource(String name) {
        URL back = getSystemResource(name);
        if (back ! = null) ;
           return back;
        return getLocalResource(name);
1
public InputStream getResourceAsStream(String name) {
         InputStream back getSystemResourceAsStream(name);
         if (back != null) {
          return back:
        return getLocalResourceAsStream(name);
}
 * Return a URL to the desired resource.
public URL getLocalResource(String name) {
         if (o -= null);
           // Check on the JAR objects
           o = resourceHash.get(name);
         if (o == null && localResourceDirectory != null) {
           # Try in localResourceDirectory
           File f = new File(localResourceDirectory, name);
           if (f.exists()) {
         if (o != null) ;
            ? Create a URL to refer to this resource
           try ;
                   URL url new URL("simpleresource",
```

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```
urlPrefix+
                                           cookie-"/+/"+name);
                    return url:
           | catch (Exception e) !
                    debug("Exception "-e+" while building a resource URL");
                    return null;
         return null;
private InputStream getLocalResourceAsStream(String name) {
         Object o:
        // Check data loaded from JAR
         if (o ! : null) ;
                    // This is a .class entry...
                    # no access to .class files through getResource() in 1.1
           byte[] buf = (byte []) o:
           return new ByteArrayInputStream(but);
         if (localResourceDirectory != null) {
           // Now try in localResourceDirectory
           File t' = new File(localResourceDirectory, name);
                    return new FileInputStream(f);
           | catch (Exception ex) {
                    return null;
         return null;
ţ
 * Returns an InputStream on the resource
public static CLoader createLoader(String cookie, ClassLoader parent) {
                    if (back != null) {
                      if (back.parentLoader != parent)
                      return back;
}
                                                                 String dir) {
         CLoader back = gerLoader(cookie);
           if (!back.localResourceDirectory.equals(dir)) {
           return back;
```

```
} clse {
private static CLoader getLoader(String cookie) {
# get the local resource object...
public static Object getLocalResource(String cookie,
                                                      String name) {
        // Check data loaded from JAR
         String type = (String) cl.mimeHash.get(name);
           // Came from JAR
                    // This is a .class entry...
                    # no access to lelass files through getResource() in 1.1
           byte[] buf = (byte []) o:
                    return ((Toolkit.getDefaultToolkitt)).createImage(but)).getSource();
           | else |
            // Check into localResourceDirectory
                    try ;
                      URL url + new URL("file",
                      return url.getContent();
            }
         return null;
// REMIND - si
public static InputStream getLocalResourceAsStream(String cookie,
                                                                    String name) {
         CLoader et getLoader(cookie);
private static void debug(String msg);
            System.cit println("CLoader:: "-msg);
```



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## JarCache.java package com.wrq.jpm; import java.io.\*; import java.util.\*; class JarCache extends Hashtable private File cacheDir = null; buildCache(); public InputStream getInputStream(String jarName) try ; if (ce != null) } catch(Exception e) {} public CacheEntry find(String jarName) public CacheEntry update(String jarName, InputStream jis) throws IOException return persist(jarName, jis): if (find(jarName) != null) CacheEntry ce = new CacheEntry(getCacheDir(), jarName, "000000000"); if (!(jis instanceof BufferedInputStream)) byte buffer[] = new byte[1024]; for (::) { if (len < 0) { break; } fos.closet);

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return ce:

debug("building cache");

;

```
ary ;
                                                                                                                        REDACTED
                                            // Find the cache, or create it if necessary, and make sure we
                                            // have the appropriate access rights.
                                            if (!Secure.exists(dir)) {
                                                                   debug("failed to make cache directory");
                                            ;
else ;
                                                       if (files != null) {
                                                                  String jarVersion = null;
                                                                   tor(int i=0; i<files.length; i++) {
                                                                             // Convert file name extension into more appropriate version string.
                                                                             try ;
                                                                             jar Version = tile.substring(afterDot);
                                                                                        CacheEntry e = new CacheEntry(dir, jarName,
jarVersion);
                                                                                        if (old == null) {
                                                                                        else {
                                                                             } catch(Exception e) {
                                            return true;
                                            debug("buildCache: " + e);
                                            return false;
                                 CacheEntry e = (CacheEntry)super.remove(jarName);
                                             Secure.fileDelete(e);
                      private File getCacheDir()
                                            try ;
                                                       // Ask for privileges here!
```

